

DATE: May 08, 2019

# **CIRCULARS**

#### **RBI**

- Auction of Government of India Dated Securities
- Auction for Sale (re-issue) of Government Stock (GS)
- Priority Sector Lending Targets and Classification

# **NSE**

- Addendum to the Order having reference no. WTM/GM/EFD/02/2019???20 dated April 30, 2019 in the matter of OPG Securities Private Limited.
- Upgradation of NCMS version CM Segment
- Addendum to the SEBI Order no. WTM/SKM/EFD1-DRAIII/16/2019-20 dated April 30,
   2019 in the matter of Dark Fibre/ Leased Line connectivity allowed to certain Stock
   Brokers by NSE
- Extended live trading session on Tuesday, May 07, 2019
- Revised formats ??? Interoperability among Clearing Corporations
- Corrigendum to the SEBI order in the matter of Jaybharat Textiles And Real Estate Limited and Ksl and Industries Limited
- SAT order in the matter of Way2wealth Brokers Private Limited and GKN Securities
- SEBI order in the matter of Shri Ram Real Estate and Business Solution Limited

- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure (ASM)
- Guidelines for determination of allotment and trading lot size for Real Estate Investment

  Trusts (REITs) and Infrastructure Investment Trusts (InvITs)
- Declaration as Defaulter and Expulsion of Trading member
- Interoperability among Clearing Corporations Applicable symbol file
- Settlement schedule for May 2019 Physical Settlement in Equity Derivatives
- Upgradation of NCMS version CM Segment
- NEAT Adapter ??? New Versions
- Trading Access Point New Versions
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Clarification with respect to NSE Circular no. NSE/INVG/40877
- SEBI Order in the matter of NSE-Dark Fibre
- SEBI order in the matter of OPG Securities Private Limited
- SEBI order in the case of NSE colocation

#### **BSE**

- Corrigendum to Final Order dated January 10, 2019 passed in the matter of Jaybharath Textiles and Estate Limited & KSL and Industries Limited
- SAT Order in respect of GK Securities and Way2wealth Brokers Pvt Ltd.
- Download of Unregistered UCC and Unregistered Location id files on account of Extended
   Trading in Gold ETF securities on the occasion of Akshaya Tritiya
- Standardization of Register of Securities, Holding Statement, Bank Book and Client Ledger "Upload of Holding Statement"
- Enhanced supervision: Monitoring of Clients' Funds lying with the Stock Broker for the week ended May 3, 2019.

- Addendum order in the matter of Dark Fibre/ Leased Line connectivity allowed to certain Stock Brokers by NSE.
- Addendum to the Order having reference no. WTM/GM/EFD/02/2019–20 dated April 30,
   2019 in the matter of OPG securities private limited
- Enhancements in Trading System APIs for Equity, Equity Derivatives and Commodity

  Derivatives segments Update
- PAN-based Self-trade Prevention Check Mechanism Enhancement
- PAN-based Self-trade Prevention Check Mechanism Enhancement
- Change in SIP scheme details of BNP PARIBAS Mutual Fund on BSE StAR MF Platform
- PAN-based Self-trade Prevention Check Mechanism Enhancement
- Release of BOLT TWS ver. 78.20
- Payment of SEBI Turnover Fees of Equity Segment/Equity Derivatives/Currency Derivatives
   Segment/Commodity Derivatives Segment/Interest Rate Derivatives/New Debt
   Segment/Repo Segment

#### MCX

- Continuation of VSAT Network
- Commencement of Silver New LTP Based Spread Contracts
- Commencement of Futures Trading in Gold Mini August 2019 contract and LTP Based Spread Trading Facility in Gold Mini Futures Contracts
- SAT Order in the matter of trading in Mentha Oil Contracts at Multi Commodity Exchange of India
- Mock Trading
- Due Date Rates

# **SEBI**

- Adjudication Order in respect of Chancellor Commodeal Private Limited in the matter of dealing in illiquid Stock Options at BSE
- Addendum in the matter of Dark Fibre Leased Line connectivity allowed to certain Stock

  Brokers by NSE
- Adjudication Order in respect of Dharti Investment and Holdings Ltd in the matter of dealing in illiquid Stock Options at BSE

### **NSDL**

• 2019-0026-Policy-SEBI Circular dated April 10, 2019

# NCDEX

• SAT Order in the matter of trading in Mentha Oil Contracts at Multi Commodity Exchange of India

# **ICEX**

- MCCIL- DDR
- File Structure Concentration Margin Files

#### INX

• Action taken against those associated with Crecer Financial Services Pvt. Ltd. and related entities

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